

# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAME	D INVENTOR	ATTORNEY DOCKET NO.		
09/076,022	05/11/98	GOMAN		R	457.010US1	
_	*	NAMACO A COCO A ET	$\neg$	EXAMINER		
MM21/0915 3CHWEGMAN LUNDBERG WOESSNER & KLUTH				FRECH,	K	
P O BOX 2938	3			ART UNIT PAPER NUMBER		
MINNEAPOLIS	MN 55402			2876		
	1			DATE MAILED	:	
	\				09/15/00	

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 



### NOTICE OF ALLOWANCE AND ISSUE FEE DUE

GM21/0915 SCHWEGMAN LUNDBERG WOESSNER KLUTH P O BOX 2930 MINNEAPOLIS NN 55402

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT		DATE MAILED
09/076,022	05/11/98	023	FRECH, K	2876	09/15/00
First Named GOMAN,		35 L	JSC 154(b) term ext. =	0 Day	· 5 .

TITLE OF SMART CARD PERSOANLIZATION IN A MULTISTATION ENVIRONMENT

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APP	LN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 457.010US1	235~38	30.000 S	529	UTILITY	yes	\$605.00	12/15/00

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.

THE ISSUE FEE MUST BE PAID WITHIN <u>THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED.</u>

#### **HOW TO RESPOND TO THIS NOTICE:**

- I. Review the SMALL ENTITY status shown above.

  If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:
  - A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
  - B. If the status is the same, pay the FEE DUE shown above.

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If the SMALL ENTITY is shown as NO:

- A. Pav FEE DUE shown above, or
- B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.
- II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give application number and batch number.

  Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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PTOL-85 (REV. 10-96) Approved for use through 06/30/99. (0651-0033)

# Notice of Allowability

Application No. 09/076,022

Applicant(s)

Goman et al

Examiner

Karl D. Frech

Group Art Unit 2876



All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.
This communication is responsive to the amendment filed August 30, 2000
X The allowed claim(s) is/are 10-13, 15-19, and 21-34
X The drawings filed on May 11, 1998 are acceptable.
☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been
received.
received in Application No. (Series Code/Serial Number)
received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
*Certified copies not received:
Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).
A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).
Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.
Applicant MUST submit NEW FORMAL DRAWINGS
because the originally filed drawings were declared by applicant to be informal.
including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No
including changes required by the proposed drawing correction filed on, which has been approved by the examiner.
including changes required by the attached Examiner's Amendment/Comment.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal lettter addressed to the Official Draftsperson.
☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.
Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.
Attachment(s)
☐ Notice of References Cited, PTO-892
Information Disclosure Statement(s), PTO-1449, Paper No(s).
Notice of Draftsperson's Patent Drawing Review, PTO-948
Notice of Informal Patent Application, PTO-152
☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  KARL D. FRECH
Examiner's Statement of Reasons for Allowance  PRIMARY EXAMINER  ART UNIT 2876

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Serial Number: 09/076,022

Art Unit: 2876

## Reasons for Allowance

1. Claims 10-13,15-19,21-34 allowed.

2. The following is an examiner's statement of reasons for allowance: the prior art of record fails to teach or fairly suggest the "networked" card processing system (examiner's words) as currently claimed, as the claims are read in light of the specification. The Linden and Laing references disclose a card processing system which has an external processing means. Linden discloses a plurality of processing stations connected to a processing means. However, the stations of Linden are all contained on a single structure. As currently claimed, as the claims are read in light of the disclosure, the currently claimed personalization stations are networked to separate server, controller and management system and each personalization system is remote from others. There is no motivation to significantly alter the structure of Linden to create the remote personalization systems as claimed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Karl Frech whose telephone number is (703) 305-3491. The examiner can normally be reached workdays from 8:30 AM to 3:30 PM. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703)308-0956. The fax phone number for this Group is (703) 308-7722.

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Communications via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used by the applicant and should be addressed to [karl.frech@uspto.gov]. All Internet e-mail communications will be made of record in the application file. PTO employees do not engage in Internet communications where there exists a possibility that sensitive information could be identified or exchanged unless the record includes a properly signed express waiver of the confidentiality requirements of 35 U.S.C. 122. This is more clearly set forth in the Interim Internet Usage Policy published in the Official Gazette of the Patent and Trademark on February 25, 1997 at 1195 OG 89.

Karl D. Frech

Primary Examiner, AU 2876

September 15, 2000